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PATENT  
5053-28501

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Bjornar Evenshaug and  
Harald Sverdrup-Thygeson

Serial No.: 09/676,018

Filed: September 29, 2000

For: CONDITION COMPONENT  
FRAMEWORK FOR  
REINSURANCE

§ Examiner: Unknown  
§  
§ Group Art Unit: 2161  
§  
§ Atty. Docket. No.: 5053-28501/EBM  
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CERTIFICATE OF MAILING  
37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Attn: BOX MISSING PARTS, Washington, D.C. 20231, on the date indicated below.

2/21/01  
Date

G. Mosher  
Geraldine Mosher

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION -  
FILING DATE GRANTED, MAILED NOVEMBER 22, 2000**

ATTN: Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicant encloses the following in response to the Notice to File Missing Parts of

Application - Filing Date Granted:

- (1) Copy of Form PTO-1533, Notice to File Missing Parts - Filing Date Granted (1 page);
- (2) Originally executed Assignment with cover sheet (3 pages);
- (3) Originally executed Declaration (2 pages);

03/01/2001 SDENB001 00000013 032769 09676018

05 FC:115 110.00 CH

- (4) Fee authorization in the amount of \$1,668.00 to cover the filing fee for a large entity as follows:
- \$ 710.00 -- basic filing fee;
  - \$ 558.00 -- surcharge for excess claims (31 @ \$18/ea.);
  - \$ 160.00 -- surcharge for excess independent claims (2 @ \$80/ea.);
  - \$ 130.00 -- surcharge for late filing of declaration and fee; and
  - \$ 110.00 -- one month extension of time.
- (5) Fee authorization in the amount of \$40.00 to cover the assignment recordation fee; and
- (6) A return postcard.

Applicant respectfully requests a one month extension of time to respond to the Notice to File Missing Parts Application - Filing Date Granted, Mailed November 22, 2000. A fee authorization in the amount of \$1,668.00 is enclosed for the extension of time fee and other filing fees. If any further extension of time is required, Applicant hereby requests the appropriate extension of time. If any fees are inadvertently omitted or if any additional fees are required or have been overpaid, please appropriately charge or credit those fees to Conley, Rose & Tayon, P.C. Deposit Account Number 03-2769/5053-28501/EBM

Respectfully submitted,



Mark DeLuca  
Reg. No. 44,649

CONLEY, ROSE & TAYON, P.C.  
P.O. BOX 398  
AUSTIN, TX 78767-0398  
(512) 476-1400 (voice)  
(512) 703-1250 (facsimile)

Date: 2/21/01



UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/676,018	09/29/2000	Bjornar Evenshaug	5053-28501

 Eric B Meyerstons  
 Conley Rose & Tayton PC  
 P O Box 398  
 Austin, TX 78767-0398

## FORMALITIES LETTER



\*OC000000005571499\*

Date Mailed: 11/22/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$714.
  - \$558 for 31 total claims over 20.
  - \$156 for 2 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

03/01/2001 SDENBOB1 00000013 032769 09676018

- The balance due by applicant is \$ 1534.

01 FC:101	710.00 CH
02 FC:103	558.00 CH
03 FC:102	160.00 CH
04 FC:105	130.00 CH

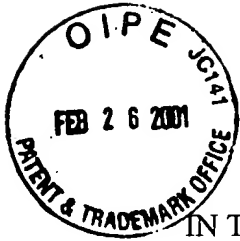
*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE





**PATENT**  
**5053-28501**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Bjornar Evenshaug and  
Harald Sverdrup-Thygeson

Serial No.: 09/676,018

Filed: September 29, 2000

For: **CONDITION COMPONENT  
FRAMEWORK FOR  
REINSURANCE**

§ Examiner: Unknown  
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§ Group Art Unit: 2161  
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§ Atty. Docket. No.: 5053-28501/EBM  
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**CERTIFICATE OF MAILING**  
37 C.F.R. § 1.8

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2/21/01  
Date

G. Mosher  
Geraldine Mosher

**FEE AUTHORIZATION**

Assistant Commissioner for Patents  
Washington, D.C. 20231

The Commissioner is hereby authorized to charge the following fees to Conley, Rose & Tayon,  
P.C. Deposit Account Number 501505/5053-28501/EBM:

\$710.00 - Utility Patent Application Filing Fees;  
\$558.00 - Excess Claims Fees;  
\$160.00 - Excess Independent Claims Fees;  
\$130.00 - Surcharge for Late Filing; and  
\$110.00 - One Month Extension of Time Fee.

Total Amount: \$1,668.00

Attorney Docket No.: 5053-28501/EBM

The Commissioner is also authorized to charge any extension fee or other fees which may be necessary to the same account number. If the above mentioned account is found to have insufficient funds, the Commissioner is authorized to charge Conley, Rose & Tayon, P.C. Deposit Account Number 501623/5053-28501/EBM.

Respectfully submitted,



Mark DeLuca  
Reg. No. 44,649

CONLEY, ROSE & TAYON, P.C.  
P.O. BOX 398  
AUSTIN, TX 78767-0398  
(512) 703-1254 (voice)  
(512) 703-1250 (facsimile)

Date: 2/21/01

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

*Receipt*  
**FILE COPY**

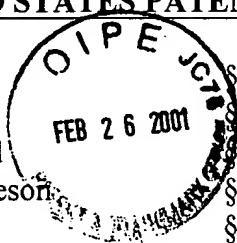
In re Application of:

Bjornar Evenshaug and  
Harald Sverdrup-Thygeson

Serial No.: 09/676,018

Filed: September 29, 2000

For: **CONDITION COMPONENT  
FRAMEWORK FOR  
REINSURANCE**



Examiner: Unknown

Group Art Unit: 2161

Atty. Docket. No.: 5053-28501/EBM

**CERTIFICATE OF MAILING**  
37 C.F.R. § 1.8

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2/21/01  
Date

G. Mosher  
Geraldine Mosher

**REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT**

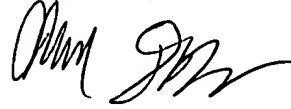
Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

Sir/Madam:

**RECEIVED**  
JUL 02 2001  
Technology Center 2100

The official Filing Receipt received by our office on November 30, 2000, is missing the second inventor as well as the continuing data as claimed by Applicant. The second inventor is Harald Sverdrup-Thygeson. The Continuing Information is "Applicant Claims benefit of U.S. Provisional Application No. 60/157,223 filed on September 30, 1999". We also request a change in the spelling of the attorney's name and the firm name. Please change Meyerstons to Meyertons and Conley Rose & Tayton to Conley Rose & Tayon. I have provided a copy of the Filing Receipt with the change noted thereon. Applicants respectfully request that these changes be made and a corrected Filing Receipt be issued in the above-identified application.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Mark DeLuca', with a stylized flourish at the end.

Mark DeLuca  
Reg. No. 44,649

Conley, Rose & Tayon  
P.O. Box 398  
Austin, TX 78767-0398  
(512) 476-1400

Date: February 21, 2001





## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/676,018	09/29/2000	2161	0	5053-28501	13	51	5

Eric B Meyer *EBM*  
Conley Rose & Tayon PC  
P O Box 398  
Austin, TX 78767-0398



## FILING RECEIPT



RECEIVED

JUL 02 2001

Date Mailed: 11/22/2000

Technology Center 2100

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Bjornar Evenshaug, Residence Not Provided;

*Harald Sverdrup-Thygeson*

Continuing Data as Claimed by Applicant

*Provisional App. 60/157,223*

Foreign Applications

*Filed Sept. 30, 1999*

If Required, Foreign Filing License Granted 11/21/2000

## Title

Condition component framework for reinsurance

## Preliminary Class

705

Data entry by : SCOTT, JOSEPH

Team : OIPE

Date: 11/22/2000



**FILE COPY**

## UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov




Bib Data Sheet

CONFIRMATION NO. 3236

<b>SERIAL NUMBER</b> 09/676,018	<b>FILING DATE</b> 09/29/2000 <b>RULE</b>	<b>CLASS</b>	<b>GROUP ART UNIT</b> 2161	<b>ATTORNEY DOCKET NO.</b> 5053-28501
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**APPLICANTS**
 Bjornar Evenshaug, Oslo, NORWAY;  
 Harald Sverdrup-Thygeson, Oslo, NORWAY;
**\*\* CONTINUING DATA \*\*\*\*\***

THIS APPLN CLAIMS BENEFIT OF 60/157,223 09/30/1999

**\*\* FOREIGN APPLICATIONS \*\*\*\*\***
 IF REQUIRED, FOREIGN FILING LICENSE  
 GRANTED \*\* 11/21/2000

Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after met Allowance Verified and Acknowledged Examiner's Signature _____ Initials _____	<b>STATE OR COUNTRY</b> NORWAY	<b>SHEETS DRAWING</b> 13	<b>TOTAL CLAIMS</b> 51	<b>INDEPENDENT CLAIMS</b> 5
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**ADDRESS**
 Eric B Meyertons  
 Conley Rose & Tayon PC  
 P O Box 398  
 Austin, TX 78767-0398
**TITLE**

Condition component framework for reinsurance

<b>FILING FEE RECEIVED</b> 1558	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
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